

App'n. No. : 10/603,025
Applicant : Duri, *et al.*
Filed : June 24, 2003
TC/A.U. : 3661
Examiner : Unassigned

Confirmation No. 2597

Docket No. : BOC9-2003-0039 (408)

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find enclosed the following for filing:

- X Information Disclosure Statement, Forms PTO/SB/08A and B, with references;
- X Please charge any deficiencies or credit any overpayment to Deposit Account No. 50-0951; and
- X Other: (1) Postcard.

This Transmittal Letter is submitted in duplicate.

Respectfully submitted,

Date: 4/15/04

Kevin T. Cuenot
Gregory A. Nelson, Reg. No. 30,577
Kevin T. Cuenot, Reg. No. 46,283
Brian K. Buchheit, Reg. No. 52,667
AKERMAN SENTERFITT
P. O. Box 3188
West Palm Beach, FL 33402-3188
(561) 653-5000

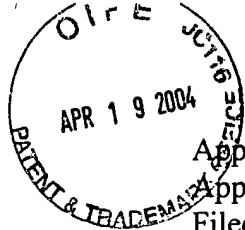
{WP176244;1}

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

4/15/04
Date

Kevin T. Cuenot Reg. No. 46,283
Kevin T. Cuenot



Appln. No. : 10/603,025
Applicant : Duri, *et al.*
Filed : June 24, 2003
TC/A.U. : 3661
Examiner : Unassigned

Confirmation No. 2597

Docket No. : BOC9-2003-0039 (408)

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find enclosed the following for filing:

- ☒ Information Disclosure Statement, Forms PTO/SB/08A and B, with references;
- ☒ Please charge any deficiencies or credit any overpayment to Deposit Account No. 50-0951; and
- ☒ Other: (1) Postcard.

This Transmittal Letter is submitted in duplicate.

Respectfully submitted,

Date: 4/15/04

Kevin T. Cuenot
Gregory A. Nelson, Reg. No. 30,577
Kevin T. Cuenot, Reg. No. 46,283
Brian K. Buchheit, Reg. No. 52,667
AKERMAN SENTERFITT
P. O. Box 3188
West Palm Beach, FL 33402-3188
(561) 653-5000

{WP176244;1}

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

4/15/04
Date

Kevin T. Cuenot Reg. No. 46,283
Kevin T. Cuenot



Appln. No. : 10/603,025
Applicant : Duri, *et al.*
Filed : June 24, 2003
TC/A.U. : 3661
Examiner : Unassigned

Confirmation No. 2597

Docket No. : BOC9-2003-0039 (408)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached Forms PTO/SB/08A and B, with references, and which the Examiner may deem relevant to patentability of the claims of the above-identified application. This information disclosure statement is filed in accordance with the partial waiver of the submission requirement under 37 C.F.R. 1.98(a)(2)(i).

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date, or (2) before the mailing date of the first Office Action on the merits and, therefore, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

The submission of the listed documents is not intended as an admission that such documents constitute prior art against the claims of the present application. Applicants do not

{WP176244;1}

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

Date

4/15/04

Kevin T. Cuenot

Kevin T. Cuenot

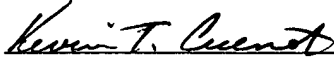
Reg. No. 46,283

waive any right to take any action that would be appropriate to antedate or otherwise remove the listed documents as competent references against the claims of the present application.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Forms PTO/SB/08A and B be returned in accordance with MPEP §609.

Respectfully submitted,

Date: 4/15/04



Gregory A. Nelson, Reg. No. 30,577
Kevin T. Cuenot, Reg. No. 46,283
Brian K. Buchheit, Reg. No. 52,667
AKERMAN SENTERFITT
P. O. Box 3188
West Palm Beach, FL 33402-3188

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	3
-------	---	----	---

Complete if Known

Application Number	10/603,025
Filing Date	June 24, 2003
First Named Inventor	Duri, et al.
Art Unit	3661
Examiner Name	Unassigned
Attorney Docket Number	BOC9-2003-0039 (408)

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known	
		Application Number	10/603,025
		Filing Date	June 24, 2003
		First Named Inventor	Duri, et al.
		Art Unit	3661
		Examiner Name	Unassigned
Sheet 2	of 3	Attorney Docket Number	BOC9-2003-0039 (408)

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"Secure System and Method for Enforcement of Privacy Policy and Protection of Confidentiality", IBM Corp., Docket CHA920020006US1, Aug. 30, 2002.	✓
		"Secure System and Method for Enforcement of Privacy Policy and Protection of Confidentiality", IBM Corp., Docket CHA920020008US1, Aug. 30, 2002.	✓
		Borher, K., et al., "Individualized Privacy Policy Based Access Control", IBM Corp., ICECR6, Dallas, TX, Oct. 17, 2003.	✓
		"Platform for Privacy Preferences 1.0 (P3P1.0) Specification, W3C, Apr. 16, 2002.	✓
		"A P3P Preference Exchange Language 1.0 (APPEL 1.0)", W3C, Apr. 15, 2002.	✓
		"eXtensible Access Control Markup Language (XACML) Version 1.0), OASIS, Feb. 18, 2003.	✓
		Goodwin, R., et al., "Instance-Level Access Control for Business-To-Business Electronic Commerce", IBM Systems Journal, Vol. 41, No. 2, pp. 303-317, 2002.	✓
		Snekkenes, E., "Concepts for Personal Location Privacy Policies", Proc. of the 3rd ACM Conf. on Electronic Commerce, Tampa, FL, pp. 48-57, 2001.	✓
		Duri, et al., "Framework for Security and Privacy in Automotive Telematics", IBM Corp., WMC '02, Atlanta, GA, pp. 25-32, Sep. 28, 2002.	✓
		"Privacy Minder", ATT Research, viewed Mar. 11, 2004.	✓

Examiner Signature	Date Considered
--------------------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known	
		Application Number	10/603,025
		Filing Date	June 24, 2003
		First Named Inventor	Duri, et al.
		Art Unit	3661
		Examiner Name	Unassigned
Sheet 3	of 3	Attorney Docket Number	BOC9-2003-0039 (408)

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"CPExchange Standards", IDEAlliance, 2004.	✓
		"IBM Business Strategy Consulting - Enterprise Privacy Architecture", IBM Corp., viewed Mar. 11, 2004.	✓

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.